

COMBINED DECLARATION AND POWER OF ATTORNEY FOR JOINT INVENTORS

1. As below named joint inventors, we hereby declare that our addresses and citizenship are as stated below next to our names. We believe we are the original, first, and joint inventors of the subject matter which is claimed and for which a patent is sought on the invention entitled:

METHOD AND APPARATUS FOR EVENT-DRIVEN PROCESSING OF DATA

the specification of which:

☒ is attached or

☐ was filed on _____ as Serial No. _____ and amended on _____.

2. We hereby state that we have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.
3. We acknowledge the duty to disclose information which is material to the patentability of this application in accordance with Section 1.56(a) of Title 37, Code of Federal Regulations.
4. ☐ Because this is a continuation-in-part application, we acknowledge our duty to disclose to the Office all information known to us to be material to the patentability as defined in 37 C.F.R. § 1.56 which has become available between the filing date of the prior application and the filing date of this continuation-in-part application.
5. ☐ We hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by us on the same subject matter having a filing date before that of the application(s) of which priority is claimed:

Country	Application Serial No.	Date of Filing (day, mo., yr.)	Priority Claimed under 35 U.S.C. § 119	
			<input type="checkbox"/> Yes	<input type="checkbox"/> No
			<input type="checkbox"/> Yes	<input type="checkbox"/> No
			<input type="checkbox"/> Yes	<input type="checkbox"/> No

6. ☐ We hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in that/those prior application(s) in the manner provided by the first paragraph of Title 35, United States Code, § 112, we acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application(s) and the filing date of this application:

Application Serial No.	Filing Date	Status

7. We hereby declare that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

8. As named inventors, we hereby appoint the following attorneys of Wiggin & Dana to prosecute this application and transact all business in the Patent and Trademark Office connected therewith: Dale L. Carlson, Reg. No. 28,784; Todd E. Garabedian, Ph.D., Reg. No. 39,197; Thomas F. Presson, Reg. No. 41,442; Gregory S. Rosenblatt, Reg. No. 32,489; and William A. Simons, Reg. No. 27,096.

9. Please send all correspondence to:

Thomas F. Presson
Intellectual Property Law Section
Wiggin & Dana
One Century Tower
New Haven, CT 06508-1832
Telephone: (203) 498-4503

10. ☐ As named inventors, we hereby appoint the attorney listed in paragraph 9 as our domestic representative for the invention identified in paragraph 1 with full power of substitution and revocation, to transact all business in the U.S. Patent and Trademark Office and in the U.S. courts in connection therewith. He is also designated as domestic representative on whom process or notice of proceedings affecting the application or patents issuing therefrom may be served.

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11. Inventor Information:

P.O. Address 5 Stonewall Lane, Woodbridge, CT 06525

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P.O. Address 7 Pepperbush Lane, Guilford, CT 06492

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Richard Kenneth Schultz Docket No.: 12217-100
 Howard Kent Gilbert
 Ashish Suresh Deshpande

Serial No.; Not yet given Examiner: Not yet assigned

Filed: Herewith Art Unit: Not yet assigned

For: Method and Apparatus for Event Driven Processing of Data

CERTIFICATE OF MAILING

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on this date February 25, 1998 in an envelope as "Express Mail Post Office to Addressee" Mailing Label No. EM342694712US to the Assistant Commissioner for Patents, Washington, DC 20231.

2/25/98
 Date

Thomas F. Presson

Thomas F. Presson
 (Signature of Person Mailing Paper)

VERIFIED STATEMENT AS SMALL ENTITY

Assistant Commissioner for Patents
 U.S. Patent and Trademark Office
 Washington, DC 20231

Dear Sir:

[X] THE UNDERSIGNED DECLARE(S) :

Exclusive rights in the above-identified invention reside in the "small entity(ies)" defined and named below, and "small entity" fees are appropriate. Qualification as a small entity is based upon the appropriately checked statements below.

[] INDEPENDENT INVENTOR(S)

The below-signing independent inventor(s) has (have) not assigned, granted, conveyed or licensed, and is (are) under no obligation under contract or law to assign, grant, convey or license any rights in the invention to any person who could not likewise be classified as an independent inventor under 37 C.F.R. ' 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 C.F.R. ' 1.9(d) or a nonprofit organization under 37 C.F.R. ' 1.9(e).

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[X] SMALL BUSINESS CONCERN

The below identified small business concern qualifies as a small business as defined in 13 C.F.R. ' 121.1301 to 121.1305, and reproduced in 37 C.F.R. ' 1.9(d), for purposes of paying reduced fees under Section 41 (a) and (b) of Title 35, in that the number of employees, including those of its affiliates, which does not exceed 500 persons, and it has not assigned, granted, conveyed or licensed, and is under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor if that person had made the invention, or to any concern under 27 C.F.R. ' 1.9(d) or a nonprofit organization under 37 C.F.R. ' 1.9(e).

Concerns are affiliates of each other when, either directly or indirectly, one concern controls or has the power to control the other, or a third party controls or has the power to control both.

The number of employees of the business concern is the average over the fiscal year of the persons employed during each of the pay periods of the fiscal year. Employees are those persons employed on a full-time, part-time or temporary basis during the previous fiscal year of the concern.

[] NONPROFIT ORGANIZATION (Check additional applicable box.)

The below-identified nonprofit organization qualifies as a small entity under 37 C.F.R. ' 1.9(e) in that it constitutes:

[] a university or other institution of higher education located in any country; or

[] an organization of the type described in Section 501(c)(3) of the Internal Revenue Code of 1954 (26 U.S.C. ' 501(c)(3)) and exempt from taxation under Section 501 (a) of the Internal Revenue Code (26 U.S.C. ' 501(a)); or


[] any nonprofit scientific or educational organization qualified under a nonprofit organization statute of a state of the United States (35 U.S.C. ' 201(i)); or

[] any nonprofit organization located in a foreign country that would qualify as a nonprofit organization under paragraphs (e)(2) or (3) of 37 C.F.R. ' 1.9 if it were located in the United States.

The undersigned acknowledge(s) the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate (37 C.F.R. ' 1.28(b)).

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The below-signing individual(s) hereby declare(s) that (he, she they) are authorized to execute this statement on behalf of the small entity; that all statements made herein of (his, her, their) own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Name of Small Entity:	
Metaserver	
Address of Small Entity: (Street, City, State or Country, Zip Code)	
One Century Tower, 265 Church Street, New Haven, CT 06510	
Name of Person Signing:	
Richard Kenneth Schultz	
Title of Person Signing:	
OFFICER	
Signature: (Please sign and date in permanent ink.)	Date signed:
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